



Baltic SCOPE

Towards coherence and cross-border
solutions in Baltic Maritime Spatial Plans



EUROPEAN UNION
European Maritime
and Fisheries Fund

Mapping maritime activities

within the Baltic Sea



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Disclaimer: The contents and conclusions in this report, including the maps and figures, were developed by the participating project partners and related experts with the best available knowledge at the time. They do not necessarily reflect the respective national governments' positions and are therefore not binding.

HELCOM data can be used freely for non-commercial purposes. Users are requested to cite HELCOM as the data source when using downloaded datasets in publications.

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**INTRODUCTION
&
SECTION I: SHIPPING DENSITY**

INTRODUCTION

The goal of Baltic Scope is to create common cross-border planning solutions in two areas in the south of the Baltic Sea—the southwest and central Baltic cases. In a transboundary MSP activity like SCOPE, planners need to come up with solutions that are coherent across borders and, among other things, need to analyze shipping movements and other maritime activities.

This document describes HELCOM Secretariat actions in mapping maritime activities for the purposes of the SCOPE project. It is divided into Section I - on mapping shipping density - and Section II - on mapping fishery activities and renewable energy.



SECTION I: SHIPPING DENSITY

Maps of shipping traffic density - highlighting the intensity of total, and segmented, ship traffic at a given time - are a key GIS product on maritime activities necessary for MSP purposes. Section I covers the key steps undertaken to create shipping density maps from quality controlled Automatic Identification System (AIS) data.

This data is generated by transmissions from AIS transmitters/receivers, which according to the IMO SOLAS convention are now obligatory on board all larger vessels operating around the world. AIS equipment is also increasingly used on a voluntary basis by many other vessels, including leisure and fishing vessels.

So far, shipping density maps have been produced by national authorities using AIS data but usually with only fragmentarily documented methodology, within limited national areas and using data covering only a limited time span.

A key task of the HELCOM Secretariat within the SCOPE project has thus been to create AIS based shipping traffic density maps for the entire planning area by using all available time series – and for this purpose to create and implement a consistent and thoroughly documented methodology.

This work has been enabled by the existence of the historic dataset of AIS data from national authorities, collected, in temporally down sampled form, for the whole Baltic Sea area by the HELCOM AIS network since 2005. Even if the participating coastal countries (Denmark, Estonia, Finland, Germany, Latvia, Lithuania, Poland, Russia and Sweden) have access to more high definition AIS data from their own waters the HELCOM AIS dataset remains the world's longest time series of AIS covering an entire region.

This Baltic-wide HELCOM AIS dataset has over the years been used for several regional activities, for example for improving navigation safety (e.g. risk analysis of regional shipping accidents carried out by HELCOM BRISK, 2012), emissions from ships (e.g. regional ship emission inventories compiled by the Finnish Meteorological Institute for HELCOM MARITIME) or mapping underwater noise (e.g. noise mapping under the BIAS project).

The HELCOM Secretariat activities within SCOPE have amounted to the first time the full potential of the regional AIS dataset has ever been used in mapping shipping density in the entire southern part of the Baltic Sea.

As part of its activities HELCOM Secretariat developed methodology described in this report, as well as produced and delivered the following AIS based shipping density maps by year:

- 2014: All ship types, Cargo, Passenger, Tanker, Change over month, Fishing, All ship types except fishing
- 2013: Cargo, Tanker. Ship length (IMO, non-IMO A, non IMO B)
- 2012: Cargo, Passenger, Tanker
- 2009: Cargo, Passenger, Tanker
- 2006: Cargo, Passenger, Tanker

Both PDF maps and GIS files in raster format were delivered. These maps, created for SCOPE, can also be viewed via [the AIS map explorer tool](#), developed by the HELCOM Secretariat with funding from other projects.

First of all we will fully explain the data handling practices used to generate a harmonized dataset of AIS data in the Baltic Sea. We will then explain how to generate shipping traffic density maps from the harmonized AIS dataset.

AIS DATA PREPARATION

All AIS signals received by each country in the Baltic Sea since 2005 have been stored in a centralized HELCOM AIS database, until recently hosted by Danish Maritime Authority (DMA) but currently in the process of being transferred to Norway (Norwegian Coastal Administration). Taken as a whole, this regional AIS dataset is so immense (Figure 1) and difficult to handle that several preparatory steps are needed to make the data usable for creating maps or statistics. The whole process is summarized in Figure 2.

Figure 1: Size of HELCOM AIS data files according to year

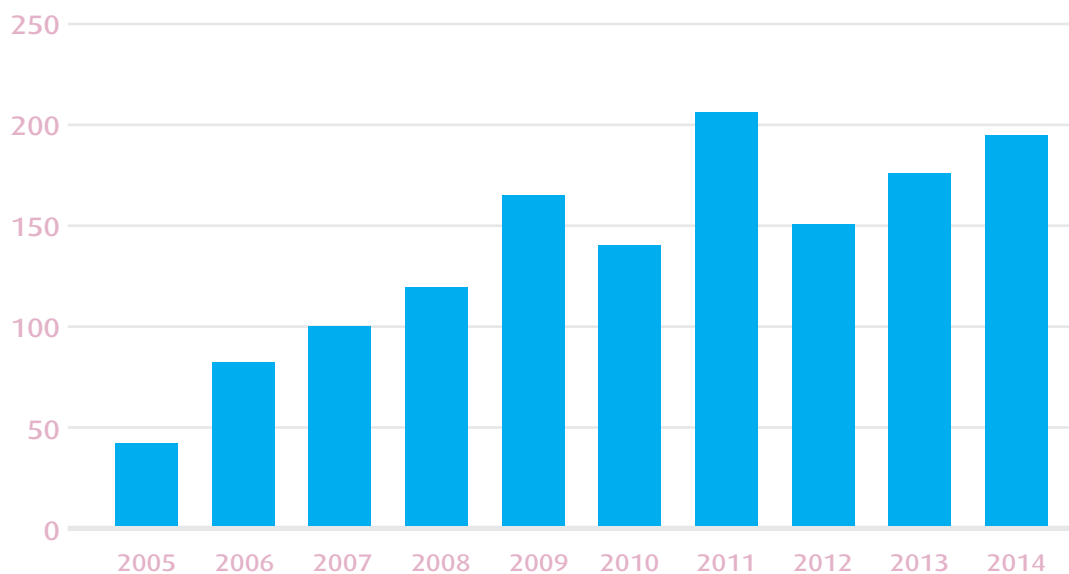


Figure 2: Overview flowchart for processing AIS data

STEP 1

AIS data from DMA



2014

Data in yearly decoded CSV files

Data in daily coded (raw) text files



Decode/convert to CSV and merge in yearly files

2008

STEP 2

Clean



JAN
FEB

Monthly files in CSV format

List with unique ships per year

2014

Information from Vessel Finder



Updated list with unique ships per year

2014

STEP 3

Monthly files with updated info

JAN
FEB



Make density maps

Statistics



HARDWARE SET UP

Following interviews with AIS data users (Annex I), we decided to work with the data on a dedicated server available through remote access with the following specifications: Intel Xeon E5-2630 0 @ 2,30GHz 10 cores with 48 GB RAM. This server allows several persons to work at the same time.

STEP 1 PREPARING RAW DATA

The AIS data was delivered by the 2005-2016 HELCOM AIS data host DMA as both decoded and raw data (NMEA sentences). Decoded data are human-readable tables, but raw data are not and has to be processed before working with it.

Depending on the year, which the HELCOM Secretariat received from the data host, both decoded and raw data contain [AIS messages](#) (position reports from ships, base stations reports, etc.) received from all national AIS base stations that are part of the HELCOM AIS network.

Year	Format of AIS data received from DMA
2005 - 2006	Yearly file (.CSV), decoded
2007 - 2008	Daily AIS raw strings (.txt)
2009 - 2014	Yearly file (.CSV), decoded

The decoded AIS data in CSV files are human-readable tables containing several parameters (columns) such as the date and time when the signal was issued, the identification of the AIS message, the identification number of the AIS transmitter, etc.

The data for 2007 and 2008 were received as raw data separated into daily files. These data were in the globally standardized NMEA sentence format, which is a set of data strings preceded by an encapsulated tag. These tags, in our case beginning with the characters "\$PGHP"¹, contain the information related to the date and time when the signal was issued. The NMEA sentences contain the rest of the information: the identification of the message issued, the identification of the AIS antenna, etc.

In order to be harmonized with the rest of the data, the material in NMEA sentences had to be decoded and converted to human-readable CSV files (see Figure below). These monthly files were then decoded with a decoder called [AIS2CSV](#), a type of free software available online developed in 2015 by DMA. The application decodes each NMEA sentence with its encapsulated tag and then generates CSV files.

In order to make the process faster the daily raw data files were merged into monthly files. The output was monthly-decoded files in CSV format that were then merged into a yearly file.

STEP 1

AIS data from DMA



Raw data
in daily files
only 2007 and 2008



Raw data
merged in
monthly files



Decoder



Decoded data
merged in
a yearly file



¹ Gatehouse proprietary format

STEP 2 DATA CLEANING

Once we had all years compiled in yearly CSV files, the next step was to clean the data to produce monthly CSV files, to be used for creating maps and statistics. Data cleaning is necessary to remove erroneous signals and duplicates.

The inputs of the pre-processing steps are made up of yearly CSV files of AIS data containing all messages. The outputs are monthly CSV files that contain the positions of the ships in the Baltic Sea (position reports).

Data cleaning was performed using R language with the RStudio interface; the same script was applied to the yearly files one at a time.

The yearly file was divided into smaller files of 1,000,000 rows to avoid running out of memory. For each division, a process of going through every AIS signal to select the relevant data and to remove erroneous signals:

- Removal of signals that are not from the selected year.
- Removal of the duplicated signals.
- Selection of [AIS messages](#) relevant for assessing shipping activities (1, 2, 3, 18 and 19).
- Removal of wrong MMSI signals. A list that can be updated (i.e. more or less than 9 digits or equal to 000000000, 111111111, 222222222, 333333333, 444444444, 555555555, 666666666, 777777777, 888888888, 999999999, 123456789, 0.12345, 1193046).
- Correction of wrong IMO numbers: each signal with an erroneous IMO number (not seven digits) was replaced with "NA".
- Adding the Maritime Identification Digits (MID) and the flag of the ships for each signal. The MID constitute the three initial digits of the MMSI. This action also removes MMSI numbers that do not have an MID (erroneous MMSI).
- Removal of special characters in all the divisions.
- Addition of two columns: one for the number of the week and one for the month.
- Selection of signals within the planning area. A polygon was drawn manually around the planning area and only the signals within this polygon were kept.
- Removal of the signals with erroneous SOG (Speed Over Ground): negative values or more than 80 knots.
- Removal of the signals with erroneous COG (Course Over Ground): negative values or more than 360°.
- Selection of parameters to generate data products.

STEP 2

Data cleaning



All key parameters were kept for all of the signals. This introduced a lot of redundancy but, because of the processing time to create the final files, it was decided to avoid the deletion of information.

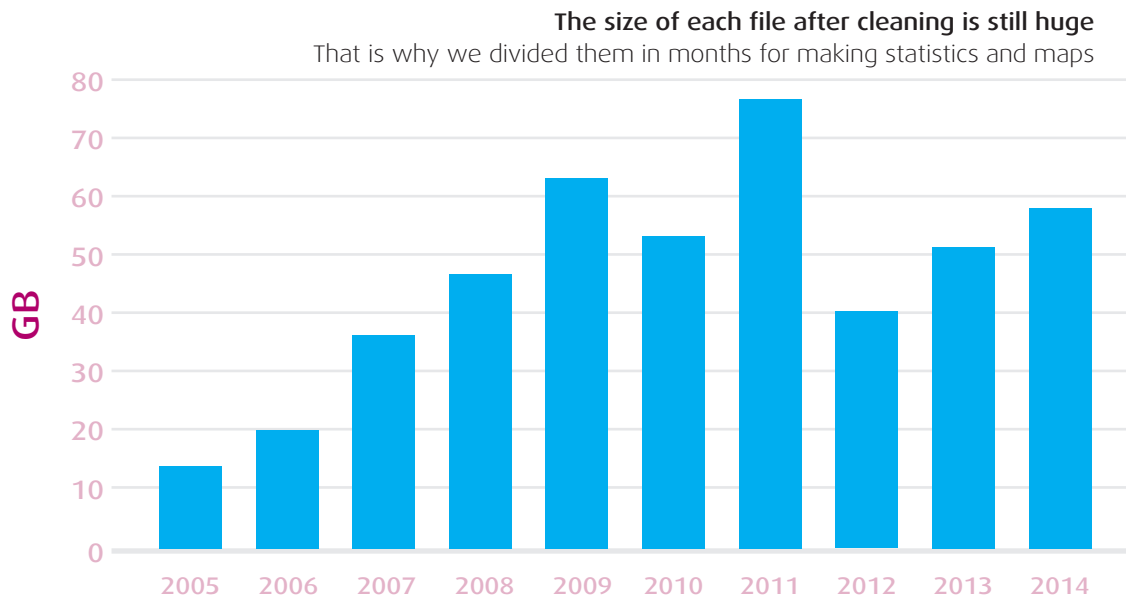
Parameters	Description
timestamp_pretty	time in format dd/mm/yyyy hh:mm:ss
timestamp	Unix time stamp (seconds since 01/01/1970 00:00:00)
msgid	The AIS message the signal issued
targetType	AIS type A or B
mmsi	MMSI number of the ship
lat	Latitude in decimal format
long	Longitude in decimal format
posacc	Position accuracy
SOG	Speed Over Ground in 0.1 knot
COG	Course Over Ground in 0.1°
shipType	Ship / vessel type
dimBow	The dimension between the AIS transmitter and the bow of the ship in meters
draught	Draught of the ship in 0.1 meter
dimPort	The dimension between the AIS transmitter and the port side (left) of the boat in meters
dimStarboard	The dimension between the AIS transmitter and the starboard side (right) of the boat in meters
dimStern	The dimension between the AIS transmitter and the stern of the ship in meters
month	Month the signal was issued (between 1 and 12)
week	Number of the week the signal was issued
imo	IMO number of the ship
country	Flag of the ship

Table 1: Parameters in the pre-processed AIS data

Each division was saved as a CSV file. For each division, we created a file with the amount of signals kept after removing the erroneous signals—duplicated signals, wrong MMSI, etc. We call this file a report.

STEP 3 SORTING THE SELECTED AIS DATA BY MONTH

This final step of data handling goes through each division and creates a new CSV file for all the signals from a given month. The column "month" is used to sort the data into the final files. In total file sizes for a complete year ranged from about 15 GB to almost 80 GB.



STEP 4 UPDATING SHIP INFORMATION

In the AIS dataset each specific ship is identified by its Maritime Mobile Service Identity (MMSI), a standardized series of nine digits, which uniquely identify ships or other transmitting stations, for certain larger vessels they also constitute the IMO registration number. The AIS data itself also includes some descriptions of the transmitting ship (e.g. dimensions, ship type, cargo) in the AIS Message 5 but this information is not an obligatory part of an AIS transmission and therefore it is an unreliable source of detailed information on ships.

In order to enable sorting of ships into ship categories and sizes we purchased a ship database from a data provider called Vessel Finder with up-to-date information on each ship. The update of ship information in our AIS material was accomplished as follows:

A ship list based on AIS data static information was generated for each year. The lists include all ships (IMO and non-IMO registered vessels) and contain the following parameters:

- | | | |
|--|---|--|
| <ul style="list-style-type: none"> ● MMSI ● IMO number ● Name of the ship ● Callsign | <ul style="list-style-type: none"> ● Country ● Target type ● Ship type ● DimBow | <ul style="list-style-type: none"> ● Draught ● DimPort ● DimStarboard ● DimStern |
|--|---|--|

The yearly lists of ships were merged to have a unique list of all ships that operated in the Baltic Sea during the studied period. The ship-related information for 18,358 IMO registered ships was purchased from Vessel Finder. The IMO numbers were used to identify the ships and the following parameters were provided:

- | | | |
|---|--|--|
| <ul style="list-style-type: none"> ● IMO number ● Name ● Ship type | <ul style="list-style-type: none"> ● Gross Tonnage ● Net Tonnage ● Length | <ul style="list-style-type: none"> ● Width ● Draught |
|---|--|--|

Each yearly ship list (from Step 1) was edited using the new information from Step 2. When information became available, ship information from the AIS data (i.e. ship type) was replaced by the new information from the provider (only for IMO registered ships). When information was not available from the provider, the original data (from AIS) was kept. At the end of this step, a total of 120 ship types were available in the ship list.

Finally, two levels of ship type categories were created to use the 120 available ship types with full potential. The first level, the gross ship type, gives broad information about the ship. The second level, the detailed ship type, gives more precise information, for example about the type of cargo or tanker. The table below describes the gross and detailed categorizations used for the purposes of the SCOPE ship density maps:

Gross ship type categorisation	Detail ship type categorisation
Cargo	Bulk cargo
	General cargo
	Other cargo
Tanker	Chemical tanker
	Chemical/Oil tanker
	Gas tanker
	Oil tanker
	Other tanker
Container	Container
Passenger	Cruise
	Ferry
	ROPAX
Other	Dredger
	Other
	Tug
	Yacht
Fishing	Fishing
Service	Service
Vehicle carrier	Vehicle carrier

Table 2: Ship type categorization used to update ship information

These ship types were chosen following the current work done by the Finnish Meteorological Institute on emissions from shipping in the Baltic Sea (cf. Information document [4-4 Emissions from Baltic Sea shipping in 2014](#) submitted by Finland for the HELCOM MARITIME 15-2015 meeting). For each 120 ship types from the previous step (step 3), a new ship type from table 2 was assigned. Details are available in Annex 2.

FROM AIS DATA TO DENSITY MAPS

After all the preparatory steps 1-4 (described above) the material was ready for generating MSP relevant AIS data products. The key products for MSP purposes are maps of shipping density – i.e. delineation of sea areas which are used for shipping activities in its various forms.

This section meticulously describes the process HELCOM Secretariat used to get from prepared AIS data to a shipping density map. As no standardized worldwide methodology exists, only some trials and examples, we had to create our own way of producing these maps.

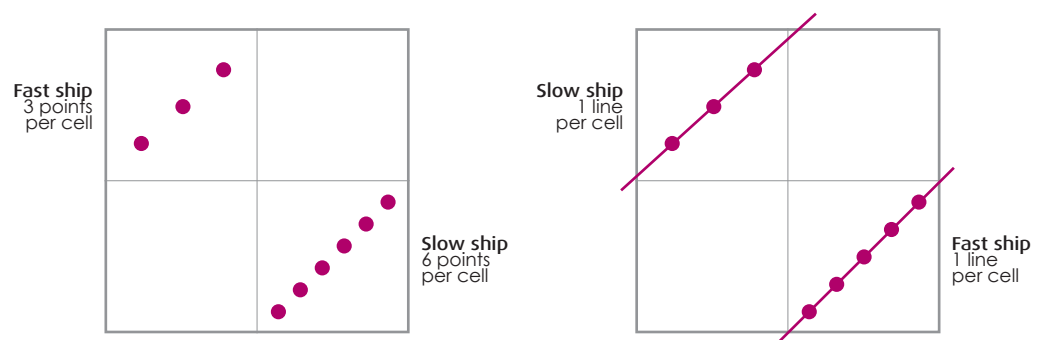
Point or line density?

After interviews with leading institutions in Europe (Annex 1) we concluded that the best way to make these maps was to overlay a very detailed grid upon the voyage track lines, created by converting individual AIS points to lines. After overlapping lines and the grid, we could count the number of lines crossing each cell and produce a reliable density map giving the number of ship crossings in a given cell.

There are some standard tools available in GIS software such as ESRI ArcGIS, which produce density maps from points (Figure A below). However, for our purposes these are not optimal as the results are based on calculations and algorithms, which do not really answer the question we identified as the most important:

“How many voyages are made across a defined area (e.g. 1x1 km grid cell) over a defined time (e.g. month)?”

As we explain later, the only way we found to do this was to count how many lines were crossing each cells (with the ArcGIS “Spatial Joins” tool) and then summing the results (with the “Dissolve” tool). This method works, but has the drawback of being slow.



A: point density	B: line density
In the commonly available tools the number of points are counted per cell. In this example we can see the problem with this approach. If a ship goes slowly the density of points is much greater than when a ship goes fast.	Instead of using point density we found that the optimal method to create traffic density maps is to count lines, not points, crossing each cell

Software considerations

We used ArcGIS 10.2 for Desktop advanced license, with Spatial Analyst, for creating raster layers. We used Background Geoprocessing 64-bit for running the needed scripts.

All layers were stored in File Geodatabases, a native ArcGIS format for storing and managing data in, according to ESRI, a fast and reliable way.

All scripts were written in ArcPython, a Python language package for geoprocessing with ArcGIS. We used PyScripter (an open source Integrated Development Environment (IDE)) for writing the scripts and IDLE (the integrated development environment that comes with Python) for running them. Our experience running scripts directly from PyScripter was not positive because they often crashed and were slower than running them from IDLE. [We plan to publish all scripts](#) in GitHub

We found that writing stand-alone scripts was much more efficient than using Model Builder. The learning curve for writing Python scripts is higher but they are easier to customize and much more flexible.

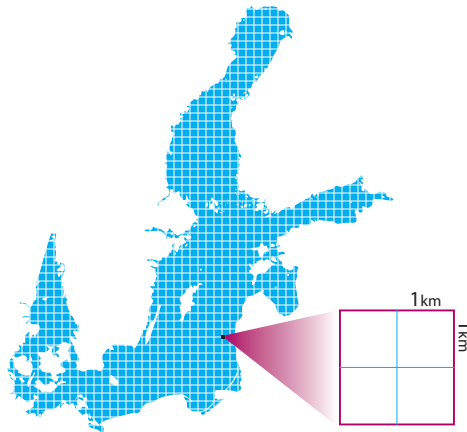
STEP 5 DEFINING A GRID

In order to make a shipping traffic density map we need two things:

- AIS files in CSV format that are the result of the cleaning process described earlier in this document.
- A defined grid. We used a 1km x 1km grid based on the INSPIRE grid system.

2005	2006	2007
JAN	JAN	JAN
FEB	FEB	FEB
MAR	MAR	MAR

All monthly files
cleaned previously



The 1km grid with the whole Baltic Sea.
The detail shows 1km cells

AIS data files

Each yearly file in CSV format is huge—about 50GB. Thus we needed to divide them in monthly files to avoid memory issues. Each monthly file was about 3,8GB on average, which made them easier to work with.

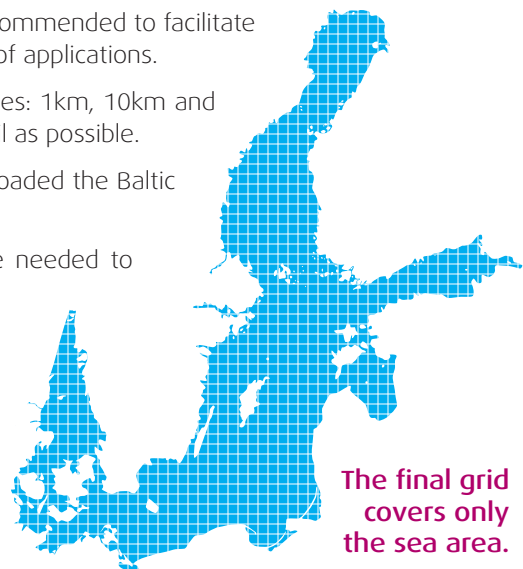
The grid

The grid file was downloaded from the European Environment Agency (EEA) and it is based on recommendations made at the 1st European Workshop on Reference Grids in 2003 and later from [INSPIRE geographical grid systems](#). This standard grid was recommended to facilitate the management and analyses of spatial information for a variety of applications.

EEA offers a grid in shapefile format for each country in three scales: 1km, 10km and 100km. We chose the 1km grid because we wanted as much detail as possible.

Since the grids were divided according to countries we first downloaded the Baltic Sea countries and then added the rest into a unique grid file.

The resulting merged grid had more than four millions cells. We needed to manually delete the cells on land to save space and make the file easier to manage. The result was a file with about 500,000 cells.



The final grid
covers only
the sea area.

STEP 6 FROM POINTS TO LINES

Once we had the 1km grid and the CSV we could start producing maps. The process we used is divided into three steps:

- 1. Making points:** A point feature class is produced for each monthly file.
- 2. Making lines:** The points are converted to lines with a script called TrackBuilder.
- 3. Making maps:** The lines and the grid are overlapped to count how many lines cross each cell.

Making points

In this step we converted the CSV files to ArcGIS point feature classes.



The monthly CSV files were converted to point feature classes with a script we wrote in ArcPy. ArcGIS also has its own native function to convert CSV files to points (Make XY Event layer), which also works, but the ArcPy script we created proved to be a much faster method.

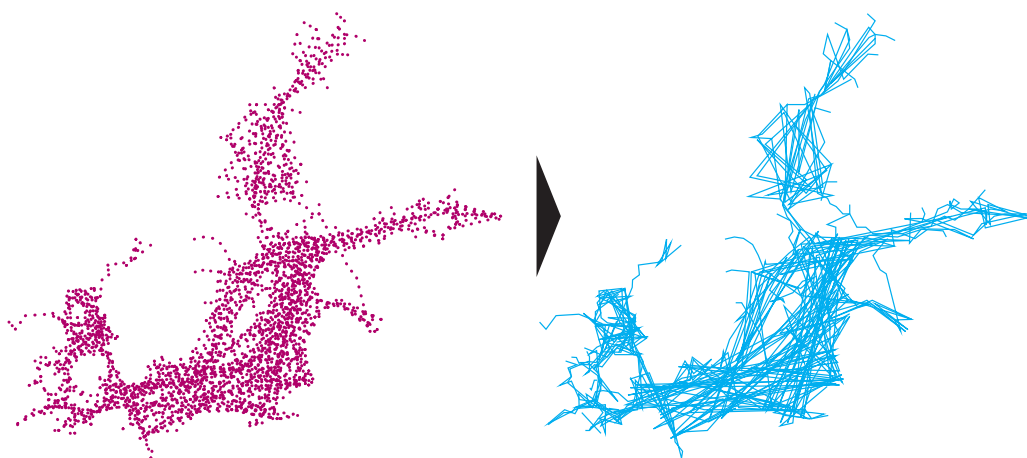
The results of this script are 12-point feature classes, one for each month.

In future actions the script can be improved by adding the following functionality:

- Ability to read the column headers in CSV files. Currently the headers must be hard-coded.
- Ability to find the latitude and longitude among the columns, which is also hard-coded.

Making lines

Here we converted the point files into line feature classes.



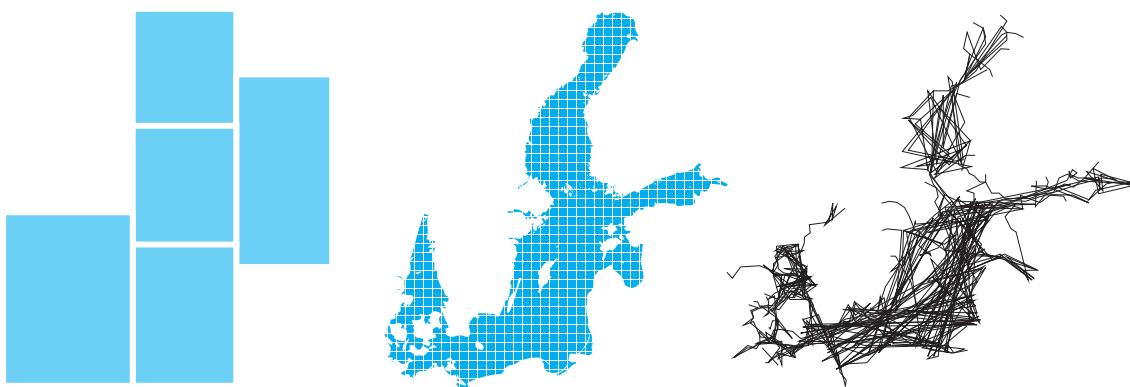
The point feature classes are converted to lines with TrackBuilder, a Python script from NOAA. The projection is also changed from WGS84 to LAEA (Lambert Azimuthal Equal-Area), used officially in HELCOM.

The points were converted to lines with a Python script called [TrackBuilder](#) made by Digital Coast, managed by NOAA's Office for Coastal Management. In TrackBuilder users can generate track lines according to date, time and identifier. It also allows users to set a distance and time filter to compensate for gaps in the data.

The advantage of using this script instead of the ArcGIS function Point to Line was that there were fewer errors and that each line signifies a trip—the script calculates the time of departure and arrival to generate the line.

STEP 7 MAKING MAPS

In order to make a density map we have to apply the 1km grid on the files with lines representing ship voyages. For this we need the grid, the lines as well as a grid division to troubleshoot memory issues:



Grid division

Big polygons covering the planning area were used. A spatial join between the lines and the 1km grid is created in each polygon. All polygons are later merged. The reason to do this is to avoid filling up memory.

1km grid

An INSPIRE compliant grid downloaded from EEA. All land areas have been deleted

Lines

There is a line feature class for each month.

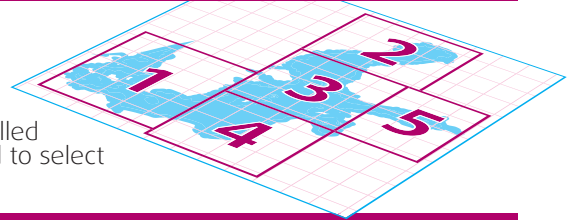
The process to create a map is divided into six main steps, illustrated in the figure.

Monthly lines file



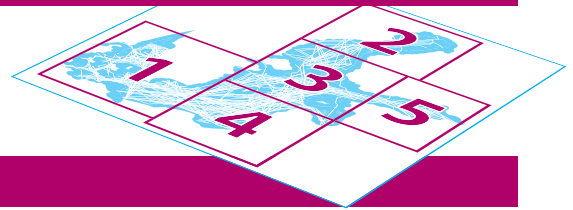
1 Selecting Cells

We selected cells inside the Grid Division: in order to avoid running out of memory, we divided the Baltic Sea area into five large squares, which we called the "grid division". First, we had to select the 1km cells inside it.



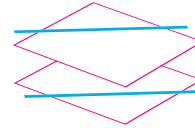
2 Selecting Lines

Then we selected the lines inside the first grid division.



3 Spatial Join

Once we had both 1km cells and lines selected in the first grid division, we applied a spatial join. The result was a 1km grid. If, for example, there were two lines crossing one cell, then two overlapping cells would be produced.



Two lines crossing the cell produce two overlapping cells

Cell ID	Count	MMSI
1	1	23434348
1	1	97389292

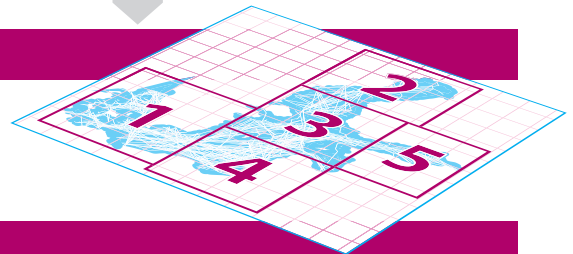
Then we checked if the spatial join in all grid divisions was ready.

NO

YES

4 Merging Spatial Joins

When all spatial joins in all grid divisions were ready, then they could all be merged into one.



5 Dissolving

Then we summed up all the crossings in each cell with the "Dissolve" tool.



Cell ID	Count	MMSI	Cell ID	Count
1	1	23434348	1	2
1	1	97389292		

6 Rastering

Resulting from the actions of the "Dissolve" tool, a huge shapefile (about 80 MB) follows, which needs to be rastered to make it lighter and more practical to work with.



Then we check if all monthly files are ready.

NO

YES

READY

Norway

When the raster is ready the only remaining step is to create symbology. The final result is a map showing the shipping traffic intensity (ship crossings over a cell during a given time unit):

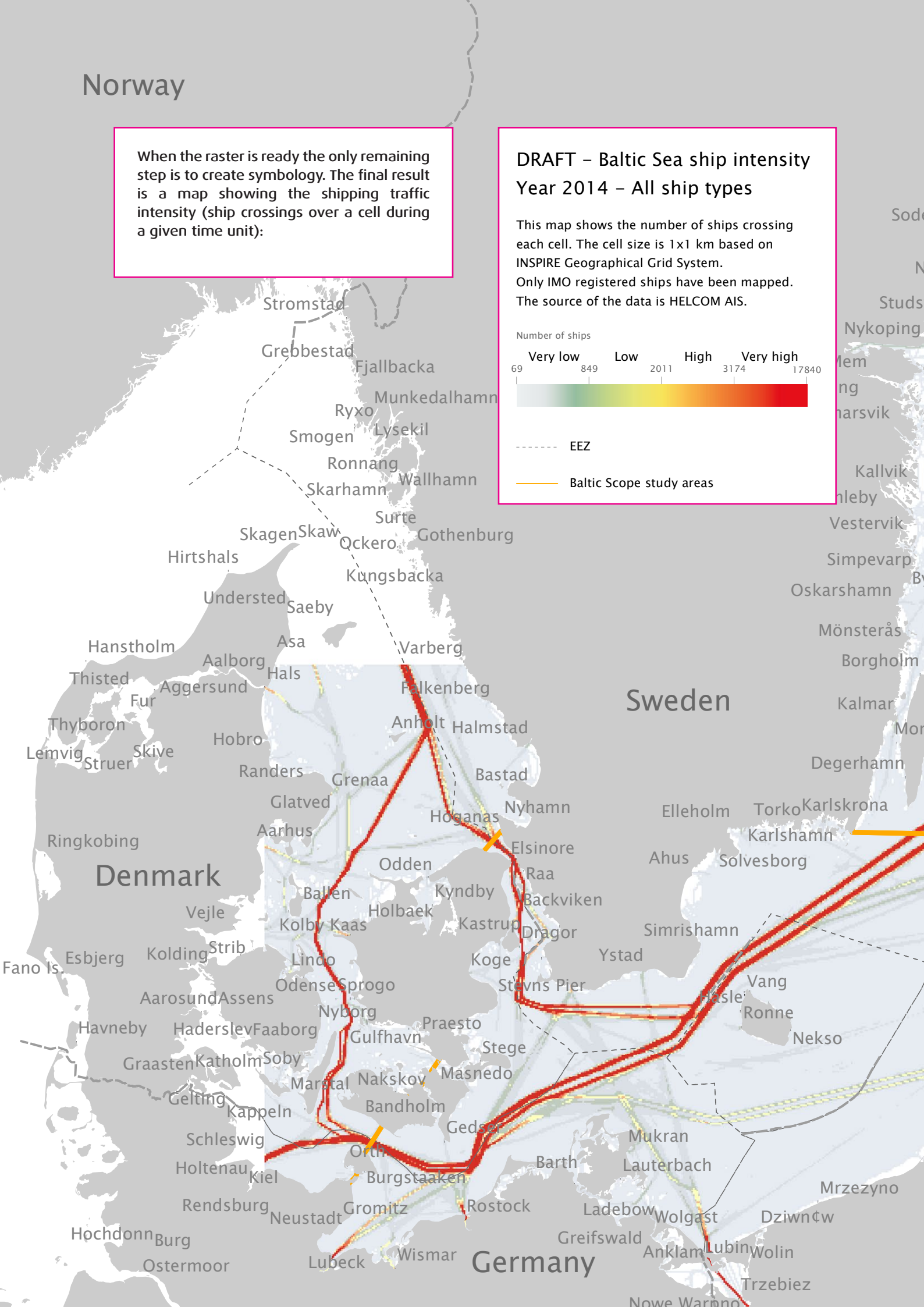
DRAFT – Baltic Sea ship intensity
Year 2014 – All ship types

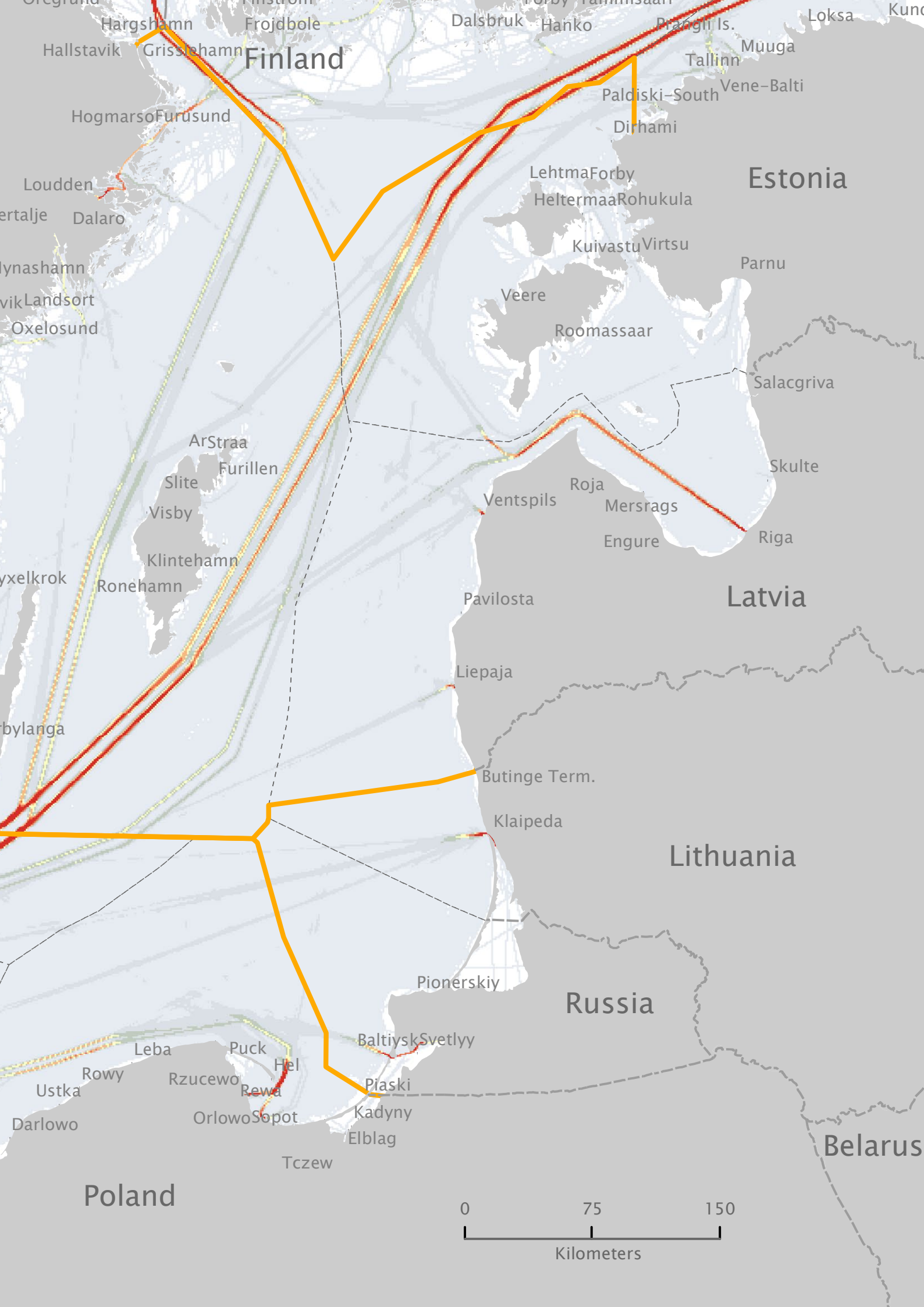
This map shows the number of ships crossing each cell. The cell size is 1x1 km based on INSPIRE Geographical Grid System. Only IMO registered ships have been mapped. The source of the data is HELCOM AIS.

Number of ships

Very low	Low	High	Very high
69	849	2011	3174
17840			

--- EEZ
 — Baltic Scope study areas







SECTION II: FISHERIES ACTIVITIES AND RENEWABLE ENERGY

SECTION II: FISHERIES ACTIVITIES AND RENEWABLE ENERGY

Also other maritime activities, particularly of fisheries and renewable energy are important for MSP. Even if HELCOM activities within SCOPE focused on shipping, this section includes some reflections on mapping fisheries and renewable energy, mainly wind power, in the Baltic Sea region.

FISHERIES MAPS—VMS AND AIS

VMS data is the state of the art official data on fishing vessel movements and catches used e.g. to enforce the Common Fishery Policy of the EU. Several organizations like the EU Commission, DG Environment, OSPAR, HELCOM, as well as national authorities have recently engaged actors like ICES to produce detailed maps on fisheries activities based on the official VMS and catch log book data.

For the Baltic and North Seas VMS data has been obtained from the fisheries authorities of coastal countries via data collection initiatives like the joint ICES/HELCOM/OSPAR data call of 2009-13, as well as recent VMS data calls initiated by ICES. The ICES WORKING GROUP has processed the collected data confidentially for Spatial Fisheries (WGSFD) under contracts paid for by HELCOM, OSPAR as well as the EU Commission.

Examples of results are the maps of fisheries activities in the Baltic Sea 2013 that were published in the HELCOM map service in autumn 2015. The maps and shapefiles of fishing intensity and effort were calculated for bottom contact gear and mid-water trawl and longline for each quarter of 2013. The following maps are available in the [HELCOM map service](#) (Pressures and Human Activities/Fisheries):

- Intensity of fishing according to every fishing activity for each year.
- Total intensity
- Total intensity and according to every fishing activity for each quarter of 2013

However, besides mapping shipping as described in Section I of this document, the regional HELCOM AIS dataset can also be used to map fisheries activities in the region.

Even if VMS is the official and most detailed source of fishing vessels' movements, AIS data is much more easily accessible than VMS. It has thus potential to provide a cost, and time, efficient path to map the spatial extent of fisheries activities for some purposes including MSP.

As part of the activities to map shipping activity the HELCOM Secretariat has made some trials on mapping fisheries using AIS as a complement to VMS based fishing activity maps. Examples of these maps, including only the larger fishing vessels registered by IMO, are [available among the maps produced by HELCOM](#).

MAPPING OFFSHORE WINDFARMS

Currently, there is no single public source to get a comprehensive map of offshore wind farms in the Baltic Sea. National agencies naturally have overview on the status of wind power projects in their national waters. For a larger area like the entire Baltic Sea region likely the best up to date source is the European Wind Energy Association (EWEA, nowadays Wind Europe) maps, which are available for purchase.

The [HELCOM map service](#) does contain a freely available older GIS dataset on offshore wind farms in the Baltic Sea. It was obtained in 2009 by merging data from EWEA and 4C Offshore Limited for the purposes of HELCOM Assessment products.

The HELCOM Secretariat has recently carried out work to update this data on offshore wind farms in the region and after checks with national authorities it will be published in the beginning of 2017 as part of the upcoming HELCOM Maritime Assessment.

The current HELCOM offshore wind farms dataset contains the following attributes:

- Name and country
- Status: Wind farms can have the following status:
 - Cancelled
 - Early planning
 - Application submitted
 - Authorized
 - Failed proposal
 - Generating power
 - Under construction
- Number of turbines
- Link to more information

Besides one off updates to datasets there are also aims to create workflows and mechanisms to enable more automatically updated GIS data on renewable energy as part of the recently funded Interreg project Baltic Lines. This would be feasible by linking updated national geodata portals developed in EU countries as part of implementation of the EU INSPIRE Directive. Reciprocal access to such national geodata, and automatic creation of regional synthesis layers, would be possible via technologies such as Web Map Service (WMS) and Web Feature Service (WFS) developed by the Open Geospatial Consortium (OGC). However, these are developments, which require further work and are not yet available.

ANNEX 1 - RESULTS OF AIS USERS INTERVIEWS

This is the result of the interviews carried out by the HELCOM Secretariat at the beginning of the Baltic SCOPE project. The purpose of these interviews was to get to know how AIS data experts work with data and learn from their experiences. The focus of the interviews is on finding out what type of storage and analysis equipment they use.

CONTACT		GENERAL INFORMATION		
Country / Organization	Name and contact	International Data exchange networks	Ideas on what HELCOM should provide	Additional info
Denmark / Danish Maritime Authority (DMA)	Omar Frits Eriksson, OFE@dma.dk	HELCOM, North Sea and IALA-net. Participating in North Atlantic, American AIS (AISAS?). They provide some European member states data to EMSA.		
EMSA	Yann Le Moan, yann.le-moan@emsa.europa.eu	(This interview took place after the submission date of documents to the AIS EWG 26-2015 meeting)	-	-
Finland / Finnish Meteorological Institute (FMI)	Jukka-Pekka Jalkanen, jukka-pekka.jalkanen@fmi.fi		To show that AIS data is relevant and its use will be increasing.	Not a provider of AIS data for external users. Their main focus is on writing scientific papers.
Finland / Finnish Transport Agency	Kaisu Heikonen, kaisu.heikonen@trafi.fi			Bilateral agreement with Sweden, Estonia and Poland. Not a provider of AIS data for external users.
Lithuania / Marine Safety Agency (MSA)	Edmundas Trusys, edmundas.trusys@msa.lt	EMSA SSN (SafeSeaNet) and HELCOM	It would be very good to have an additional source of data than the AIS national network. The connection with the AIS national network was lost a few times and thanks to the HELCOM AIS data network, it was still possible to have data coming in.	No bilateral agreement with other countries for now, but probably in the future. Work with AIS is new in Lithuania. MSA provides AIS data or giving access to the Lithuanian SafeSeaNet (full access or with some limits, depending on the contract) for government authorities, but they must sign a contract. Residents confirm their identity (only LT residents) to be able to monitor vessel movements with AIS (very "limitless" (public)).

STORAGE OF AIS DATA		ANALYSIS OF AIS DATA		
Purpose of the storage	Software / Hardware	Types of analysis	Products from AIS data	Software / Hardware
To provide the countries a compiled version of AIS data in the Baltic Sea.		No advanced analysis.	Online map service for HELCOM Contracting Parties.	They recommend using just a powerful laptop.
-	-	-	-	-
The have all HELCOM AIS datasets from the year 2005 to 2013. They run models and statistics when needed.	Basic storage in CSV divided per month on an external conventional hard disk.	STEAM – models using AIS data for emissions from ships. They use the HIS Fairplay database to have ship properties.	Analysis of emissions from ships, costs of regulations to reduce emissions, etc.	STEAM, they built their own software. No database, they use a simple workflow. Basic laptop, 16GB RAM and 64 bits.
There is a pilot project to store national data.	Cognos for reporting and Hadoop for handling the storage.			
Monitoring, 1 year history review in the national waters	They developed their own software. IBM blade server + virtual servers (Citrix, VMware), 20 Gb RAM + 1 To for storage. This is enough for national data.	Analysis for different purposes: ship course history, statistics, etc.	To develop a graphical interface (made in-house). There is already a map service in place for public and institutional use.	Software are developed in-house. IBM Blade server, virtual servers (Citric, VMware).

CONTACT		GENERAL INFORMATION		
Country / Organization	Name and contact	International Data exchange networks	Ideas on what HELCOM should provide	Additional info
Norway / Norwegian Maritime Administration	Harald Åseii, harald.aasheim@kystverket.no	<p>HELCOM and North Sea (UK, DK, etc.) international data exchange networks-</p> <p>Norway is running the North Atlantic network (Norway, Iceland, DK and satellite data.</p>	<p>These questions are more and more present in this field. It would be good for HELCOM to provide a report to answer basic questions about AIS. It would also be interesting to explain how AIS data could be merged with other data (e.g. environmental studies) to fulfil the needs of research</p>	<p>No bilateral agreement with other countries. AIS data provider: they provide raw data to companies (i.e. oil industry). These companies managed the data (pre-processing, database, etc.).</p>
	Jon-Arve Røyset, jon.arve.royset@kystverket.no	<p>HELCOM and North Sea (UK, DK, etc.) international data exchange networks-</p> <p>Norway is running the North Atlantic network (Norway, Iceland, DK) and satellites data.</p>	<p>HELCOM could get data flows from Havbase.</p>	<p>No bilateral agreement with other countries. AIS data provider through havbase. With an account, one could go deeper in filtering the ship types.</p>
Sweden / Linköping University	Anders Grimvall, anders.grimvall@liu.se	<p>They get all of the AIS data of the Baltic Sea area from the Swedish Maritime Administration.</p>	<p>Traffic intensity maps and shipping statistics for Helcom Assessment Areas</p> <p>In addition, I think that Helcom could play an important role by developing:</p> <ul style="list-style-type: none"> - Guidelines for data quality assessment (identification and handling of missing values and obviously erroneous records) - Guidelines for producing traffic intensity maps in spatial scales relevant for different types of assessments and supplying AIS data in a form that is useable for environmental scientists who have a moderate experience of analysing big data. <p>If possible, it would also be nice if AIS data could be coupled to detailed ship data for further analysis (e.g. emissions, etc.).</p>	<p>No bilateral agreement with other countries. Not an AIS data provider for external users. Data are only available for partners of the Swedish Institute for Marine Environment.</p>

STORAGE OF AIS DATA		ANALYSIS OF AIS DATA		
Purpose of the storage	Software / Hardware	Types of analysis	Products from AIS data	Software / Hardware
Storage of Norwegian AIS data network and the North Atlantic network. For online data viewers (2 years of data from the Norwegian network and satellite data from 2010).	Microsoft software – simple folders in windows explorer. Storage on conventional hard disks.		Map service available: havbase.no	
Storage of Norwegian AIS data network and the North Atlantic network. For online data viewer (2 years of data from the Norwegian network and satellite data from 2010).	PostGres (open source DB) with PostGIS extension for handling spatial data. They use a powerful laptop, but nothing special. Could not give specifications. RAM is important for AIS data.	Pre-processing AIS data to create maps for havbase.no. There is an update of the database every night to add more data. The pre-processing is automatic and new layers are generated for havbase. Use of Fairplay and ShipInfo to cross IMO number and ship characteristics (for calculation of emissions).	Everything goes on havbase. The next step is to cross havbase with weather data to be able to predict risks?	PostGres (open source DB) with PostGIS extension for handling spatial data to filter data / calculate distance, etc. Also work with google earth, ArcGIS and google API to produce graphs. A good laptop, but nothing special. Could not give specifications. RAM is important for AIS data.
They have HELCOM AIS data for 2013 and 2014. They supply AIS data to the working group on shipping at the Swedish Institute for the Marine Environment, University of Gothenburg, Chalmers University of Technology (GOT) and Linnaeus University (Kalmar).	SAS 9.3 (Data mining module) and QGIS Desktop 2.4. SAS because it is documented more compared to R and because they are more familiar with this software HP Elite Book 2570p, 64 bits, 16 Go RAM and GB of Hard disk. 1000 GB external hard disk.	Traffic intensity maps, shipping statistics by sea area and type of ship for the entire Baltic Sea and Swedish assessment areas according to the MSFD. Grid between 100 m x 100 m and 500 m x 500 m. There is a big pre-processing step before analysis: few % of AIS signals contain mistakes.	Popular report (in Swedish) about the impact of shipping on the marine environment. In the relatively near future there will be a scientific article about the use of AIS for MSP and data quality issues in using AIS data.	SAS 9.3 (Data mining module) and QGIS Desktop 2.4. HP Elite Book 2570p, 64 bits, 16 Go RAM and GB of Hard disk. 1000 GB external hard disk.

ANNEX 2

List of the ship type information from Step 3 and the creation of gross and detail ship categories.

Final ship types after Step 3	HELCOM categorisation	
	Gross ship types	Detail ship types
Aggregates carrier	Cargo	Bulk cargo
Anchor handling tug supply	Other	Tug
Anti pollution	Service	Service
Asphalt/bitumen tanker	Tanker	Other tanker
Barge carrier	Other	Other
Bucket ladder dredger	Other	Dredger
Bulk carrier	Cargo	Bulk cargo
Bulk/oil carrier	Cargo	General cargo
Bunkering tanker	Tanker	Oil tanker
Buoy tender	Service	Service
Buoy/lighthouse vessel	Service	Service
Cable layer	Service	Service
Cement carrier	Cargo	Other cargo
Chemical tanker	Tanker	Chemical tanker
Chemical/oil products tanker	Tanker	Chemical/Oil tanker
CO2 tanker	Tanker	Gas tanker
Container ship	Container	Container
Container Ship (Fully Cellular with Ro-Ro Facility)	Container	Container
Crane ship	Service	Service
Crew boat	Service	Service
Crude oil tanker	Tanker	Oil tanker
Deck cargo ship	Cargo	Other cargo
Diving	Other	Other
Dredger	Other	Dredger
Dredging	Other	Dredger
Drilling ship	Service	Service
Edible oil tanker	Tanker	Other tanker
Exhibition vessel	Service	Service
Fire fighting vessel	Service	Service
Fish carrier	Cargo	Other cargo
Fish factory ship	Fishing	Fishing
Fishery research vessel	Fishing	Fishing
Fishing support vessel	Fishing	Fishing
Fishing vessel	Fishing	Fishing
FPSO (floating, production, storage, offloading)	Service	Service
FSO (floating, storage, offloading)	Service	Service
General cargo ship	Cargo	General cargo
Grab dredger	Other	Dredger
Heavy load carrier	Other	Other
Hopper dredger	Other	Dredger
Hospital vessel	Service	Service

Final ship types after Step 3	HELCOM categorisation	
	Gross ship types	Detail ship types
Hsc	Passenger	Ferry
Icebreaker	Other	Other
Inland waterways passenger	Passenger	Ferry
Inland waterways tanker	Tanker	Other tanker
Landing craft	Other	Other
Law_enforcement	Other	Other
Limestone carrier	Cargo	Bulk cargo
Liquefied gas	Tanker	Gas tanker
Live fish carrier	Cargo	Other cargo
Livestock carrier	Cargo	Other cargo
LNG tanker	Tanker	Gas tanker
LPG tanker	Tanker	Gas tanker
Medical	Service	Service
Military	Other	Other
Minehunter	Service	Service
Minesweeper	Service	Service
Molasses tanker	Tanker	Other tanker
Motor hopper	Service	Service
Naval/naval auxiliary	Service	Service
Non propelled barge	Other	Other
Nuclear fuel carrier	Cargo	Other cargo
Offshore support vessel	Service	Service
Offshore tug/supply ship	Service	Service
Oil products tanker	Tanker	Oil tanker
Ore/oil carrier	Cargo	Other cargo
Palletised cargo ship	Cargo	General cargo
Passenger	Passenger	Undefined passenger
Passenger (cruise) ship	Passenger	Cruise
Passenger ship	Passenger	Ferry
Passenger/general cargo ship	Passenger	ROPAX
Passenger/landing craft	Passenger	Ferry
Passenger/ro-ro cargo ship	Passenger	ROPAX
Patrol vessel	Other	Other
Pilot vessel	Other	Other
Pipe burying vessel	Service	Service
Pipe layer	Service	Service
Platform	Service	Service
Pleasure	Other	Yatch
Pollution control vessel	Service	Service
Pontoon	Service	Service
Port_tender	Other	Other
Power station vessel	Service	Service
Pusher tug	Other	Tug
Refined sugar carrier	Cargo	Bulk cargo
Refrigerated cargo ship	Cargo	Other cargo
Research vessel	Service	Service
Ro-ro cargo ship	Container	Container

Final ship types after Step 3	HELCOM categorisation	
	Gross ship types	Detail ship types
Sail training ship	Other	Other
Sailing vessel	Other	Other
Salvage ship	Other	Other
Sar	Other	Other
Seal catcher	Other	Other
Search & rescue vessel	Other	Other
Self discharging bulk carrier	Cargo	Bulk cargo
Ships_according_to_rr	Other	Other
Standby safety vessel	Service	Service
Stern trawler	Fishing	Fishing
Supply tender	Service	Service
Tanker	Tanker	Other Tanker
Towing	Other	Other
Towing_long_wide	Other	Other
Training ship	Service	Service
Trawler	Fishing	Fishing
Tug	Other	Tug
Undefined	Unknown	Unknown
Unknown	Unknown	Unknown
Utility vessel	Service	Service
Waste disposal vessel	Service	Service
Water tanker	Tanker	Other tanker
Vegetable oil tanker	Tanker	Other tanker
Vehicles carrier	Vehicle carrier	Vehicle carrier
Well stimulation vessel	Service	Service
Vessel (function unknown)	Other	Other
WIG	Other	Other
Wine tanker	Tanker	Other tanker
Wood chips carrier	Cargo	Bulk cargo
Work/repair vessel	Service	Service
Yacht	Other	Yatch



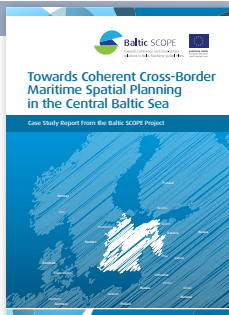
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LIST OF THE PRODUCTS PREPARED DURING THE BALTIC SCOPE COLLABORATION:



Recommendations
on Maritime Spatial Planning Across Borders

Coherent Cross-border Maritime Spatial Planning for the Southwest Baltic Sea - Results from Baltic SCOPE



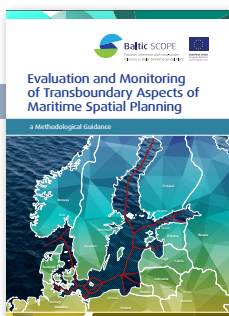
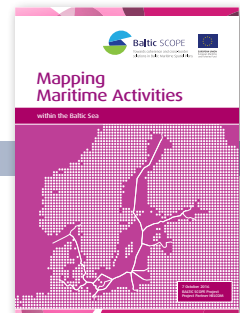
Towards Coherent Cross-Border Maritime Spatial Planning in the Central Baltic Sea - Case Study Report From the Baltic SCOPE Project

Lessons Learned: Obstacles and Enablers When Tackling the Challenges of Cross-Border Maritime Spatial Planning - Experiences from Baltic SCOPE



The Ecosystem Approach in Maritime Spatial Planning - A Checklist Toolbox

Mapping Maritime Activities within the Baltic Sea



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Joint results achieved by cooperation between the authorities responsible for Maritime Spatial Planning in the Baltic Sea Region with support of regional and research organizations.

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Towards coherence and cross-border
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